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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

2818-
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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number

10/079,938

Filing Date

February 19, 2002

First Named Inventor

Jonathan S. Lindsey

Group Art Unit

2818

Examiner Name

Unassigned

Attorney Docket Number

407T-301500US

ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Response
 - ☐ After Final
 - ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Small Entity Statement
- ☐ Request for Refund

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Additional Enclosure(s) (please identify below):
 - PTO Form 1449; Cited References; receipt acknowledgment postcard

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Please charge Deposit Account No. 50-0893 for any additional fees associated with this paper or during the pendency of this application, including any extensions of time for consideration of the documents enclosed.

Remarks

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Tom Hunter, Reg. No. 38,498, Quine Intellectual Property Law Group, P.C.

Signature

Tom Hunter

Date

4/9/03

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: April 9, 2003

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Amelia Groth

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Amelia Groth

Date

April 9, 2003



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QUINE INTELLECTUAL PROPERTY LAW GROUP, P.C.

By

Amelia Groth
Amelia Groth

#5
D. Scott
5-8-03

Attorney Docket No. 407T-301500US
Client Ref. No. 2002-031

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Jonathan S. Lindsey, et al.

Application No.: 10/079,938

Filed: February 19, 2002

For: MULTISTATE TRIPLE-DECKER
DYADS IN THREE DISTINCT
ARCHITECTURES FOR
INFORMATION STORAGE
APPLICATIONS

Examiner: Unassigned

Art Unit: 2818

INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR § 1.97 and
§ 1.98

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The references cited on attached form PTO-1449 are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

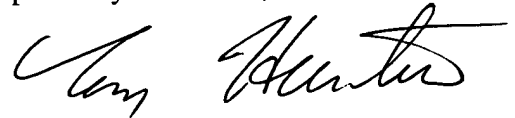
As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

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representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action on the merits per 37 CFR 1.97(b)(3). However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 50-0893. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

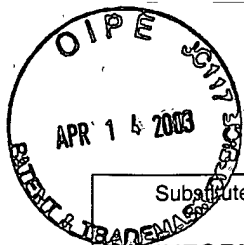
Respectfully submitted,



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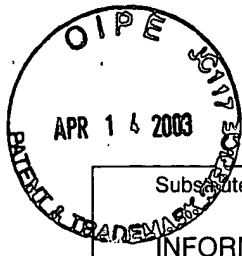


Substitute for form 1449A-B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)	Complete if Known	
	Application Number	10/079,938
	Filing Date	February 19, 2002
	First Named Inventor	Jonathan S. Lindsey
	Group Art Unit	2818
	Examiner Name	Unassigned
	Attorney Docket Number	407T-301500US
Date Submitted	April 9, 2003	

U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, lines, Where Relevant Passages or Relevant Figures Appeal
		Number	Kind Code (if known)			
	1	3,637,581		Horiguchi et al.	1/25/1972	
	2	3,833,894		Aviram et al.	9/3/1974	
	3	4,618,509		Bulkowski	10/21/1986	
	4	4,663,270		Potember et al.	5/5/1987	
	5	4,670,860		Wilson	6/2/1987	
	6	4,728,724		Jones et al.	3/1/1988	
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	8	5,010,451		Ueyama et al.	4/23/1991	
	9	5,016,063		Beratan et al.	5/14/1991	
	10	5,035,835		Asakawa et al.	07/30/1991	
	11	5,063,417		Hopfield	11/5/1991	
	12	5,075,738		Matsuda et al.	12/24/1991	
	13	5,091,502		Narang et al.	2/25/1992	
	14	5,135,537		Eida et al.	8/4/1992	
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	16	5,252,698		Bhardwaj et al.	10/12/1993	
	17	5,264,876		Kawade et al.	11/23/1993	
	18	5,280,183		Batzel et al.	1/18/1994	
	19	5,312,896		Bhardwaj et al.	5/17/1994	
	20	5,432,379		Eguchi et al.	7/11/1995	
	21	5,434,842		Weiss et al.	7/18/1995	
	22	5,463,014		Epstein et al.	10/31/1995	
	23	5,475,075		Brant et al.	12/12/1995	
	24	5,506,420		Kossovsky et al.	4/9/1996	
	25	5,525,811		Sakurai et al.	6/11/1996	
	26	5,539,100		Wasielewski et al.	7/23/1996	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



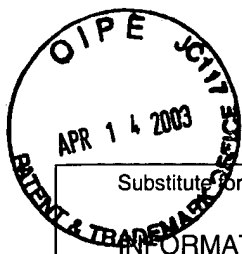
Substitute for form 1449A-B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>	<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th colspan="2" style="text-align: left; padding: 2px;">Complete if Known</th> </tr> <tr> <td style="width:50%; padding: 2px;">Application Number</td> <td style="padding: 2px;">10/079,938</td> </tr> <tr> <td style="padding: 2px;">Filing Date</td> <td style="padding: 2px;">February 19, 2002</td> </tr> <tr> <td style="padding: 2px;">First Named Inventor</td> <td style="padding: 2px;">Jonathan S. Lindsey</td> </tr> <tr> <td style="padding: 2px;">Group Art Unit</td> <td style="padding: 2px;">2818</td> </tr> <tr> <td style="padding: 2px;">Examiner Name</td> <td style="padding: 2px;">Unassigned</td> </tr> <tr> <td style="padding: 2px;">Attorney Docket Number</td> <td style="padding: 2px;">407T-301500US</td> </tr> <tr> <td style="padding: 2px;">Date Submitted</td> <td style="padding: 2px;">April 9, 2003</td> </tr> </table>	Complete if Known		Application Number	10/079,938	Filing Date	February 19, 2002	First Named Inventor	Jonathan S. Lindsey	Group Art Unit	2818	Examiner Name	Unassigned	Attorney Docket Number	407T-301500US	Date Submitted	April 9, 2003
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FOREIGN PATENT DOCUMENTS								
Examiner Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		Office	Number	Kind Code (if known)				
	44	EP	0 272935	A3	Canon	6/29/1988		
	45	EP	0 307210	A2	Seiko	3/15/1989		
	46	EP	0 307211	A2	Seiko	3/15/1989		
	47	EP	0 363147	A2	Canon	4/11/1990		
	48	WO	99/24527	A1	OMD Devices	5/20/1999		
	49	WO	01/03126	A2	Univ. of California	1/11/2001		

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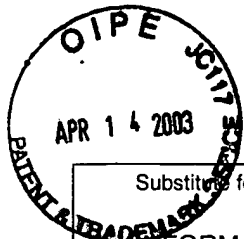


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	Examiner Name	Unassigned
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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
	50	"Ferrocene--Molecule of the Month" Jun. 1996, University of Oxford Web Page, http://www.ncl.ox.ac.uk/mom/ferrocene/ferrocene2.html .	
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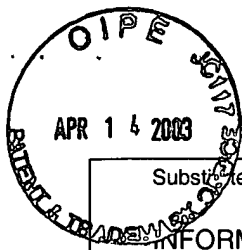


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67	DUCHOWSKI ET AL. (1990) Spectroscopic Characterization of Triple Decker Lanthanide Porphyrin Sandwich Complexes. Effects of Strong π π Interactions in Extended Assemblies" J Am. Chem. Soc. 112: 8807-8811	
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80	ROTH (2000) "Molecular Approach Toward Information Storage Based on the Redox Properties of Porphyrins in Self-Assembled Monolayers" J. Vac. Sci. Technol. B. 18(5) 2359-2364	

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84	ZHU ET AL. (1999) "Chemical Vapor Deposition of Organic Monolayers on Si(100) via Si-N Linkages" Langmuir 15: 8147-8154	

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